

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor, if only one name is listed below, or an original, first and joint inventor, if plural names are listed below, of the subject matter which is claimed and for which a patent is sought on the invention entitled **DENDRITIC-PLATINATE DRUG DELIVERY SYSTEM**, the specification of which was filed on July 7, 1998 and assigned Application No. 09/111,232.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office (the Office), all information which is known by me to be material to patentability as defined in Title 37, Code of Federal Regulations (C.F.R.), Section 1.56.

CLAIM OF PRIORITY

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

Application Number 60/051,800 filed on July 7, 1997

POWER OF ATTORNEY

I hereby appoint the practitioners associated with the Customer Number provided below (*i.e.*, the practitioners associated with the law firm of Price, Heneveld, Cooper, DeWitt and Litton) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Please direct all correspondence to the address associated with that Customer Number.

Customer Number 000,277

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true, and further, these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Sole or First joint inventor:

Second joint inventor:

Navid Malik 21st August 98
Navid Malik Date

Citizenship: Great Britain

Residence: 1A Lynton Road

Kilburn London, NW6 6BD

Post Office Address: 1A Lynton Road

Kilburn London, NW6 6BD

R. Duncan 21st August 1998
Ruth Duncan Date

Citizenship: Great Britain

Residence: Apt. 143B, Chiltern Court

London, NW1 1AX

Post Office Address: Apt. 143B, Chiltern Court

London, NW1 1AX

This Assignment 990 is in the ENGLISH language and has the same meaning in other languages with the same 990 number.

[illegible]

This Assignment 990 is in the ENGLISH language and has the same meaning in other languages with the same 990 number.

ASSIGNMENT

(TRANSFER)

We, ASSIGNOR: DENDRITECH, INC.
of: 3110 Schuette Drive
 Midland, Michigan 48642
 United States of America

a corporation organized and existing under the laws of the State of Michigan, United States of America do hereby claim and declare that we are the recorded owner of the following Patent Application(s) or Letters Patent(s) and other rights and powers obtainable or exercisable in respect thereof as numbered in United States Patent Application(s)/Letters Patent(s):

(SEE ATTACHED APPENDIX)

We do hereby, for good and valuable consideration, received, sell, assign and transfer unto (effective as of March 13, 2000):

ASSIGNEE: THE DOW CHEMICAL COMPANY
of: 2030 Dow Center
Midland, Michigan 48674
United States of America

a corporation organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest in and to the said Patent Application(s) or Letters Patent(s), and consent to the recordal of this Assignment.

ASSIGNOR

ASSIGNEE

Subscribed and Sworn by us:

Accepted by us:

At Midland, Michigan 48640, USA


At Midland, Michigan 48674, USA


this 13th day of March 2000

this 13 day of March 2000

DENDRITECH, INC.

THE DOW CHEMICAL COMPANY

By 
Robert M. Nowak
President & C.E.O.

By 
Graham E. Taylor
Assistant General Counsel, Intellectual
Property

CORP.
SEAL

CORP.
SEAL

ASSIGNMENT

WHEREAS, NAVID MALIK
1A LYNTON ROAD
KILBURN LONDON NW6 6BD

RUTH DUNCAN
143B, CHILTERN COURT
LONDON, NW1 1AX

respectively (hereinafter referred to as Assignor), (has) (have) invented certain new and useful improvements in DENDRITIC-PLATINATE DRUG DELIVERY SYSTEM for which an application for United States Letters Patent was filed on July 7, 1998 and assigned Application No. 09/111,232.

WHEREAS, DENDRITECH, INC., a corporation of the State of Michigan, having a place of business at 3110 SCHUETTE DRIVE, MIDLAND, MICHIGAN 48642, (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of one dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable considerations, Assignor hereby sells, assigns and transfers unto said Assignee the full and exclusive right, title and interest to the said invention in the United States and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and in any and all foreign countries and in and to any and all divisions, reissues, continuations, continuation-in-part, and extensions thereof including the full right to claim for any such applications the benefits of the International Convention.

Assignor hereby authorizes and requests the Patent Office Officials in the United States and in any and all foreign countries to issue any and all of said Letters Patent, when granted, to said Assignee as the owner of the entire right, title and interest in and to the same, for the sole use and behoof of said Assignee, its successors and assigns.

FURTHER, Assignor agrees to communicate to said Assignee or its representatives any facts known to Assignor respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitution, renewal, and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said Assignee, make all rightful oaths and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and in any and all foreign countries.

IN TESTIMONY WHEREOF, we have hereunto set our hands on the date appearing next to our signature.

Witness:

Inventor:

Date:

T. Heston

Navid Malik
Navid Malik

21st August 99

T. Heston

R. Duncan
Ruth Duncan

21st August 1998

BEST AVAILABLE COPY



GJ-
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 11, 1998

PTAS

PRICE, HENEVELD, COOPER, DEWITT & LITTON
GUNTHER J. EVANINA
POST OFFICE BOX 2567
GRAND RAPIDS, MI 49501



100822286A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/27/1998

REEL/FRAME: 9415/0115
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MALIK, NAVID

DOC DATE: 08/21/1998

ASSIGNOR:
DUNCAN, RUTH

DOC DATE: 08/21/1998

ASSIGNEE:
DENDRITECH, INC.
3110 SCHUETTE DRIVE
MIDLAND, MICHIGAN 48642

SERIAL NUMBER: 09111232
PATENT NUMBER:

FILING DATE: 07/07/1998
ISSUE DATE:

RECEIVED

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

DEC 17 1998

PRICE, HENEVELD, COOPER
DEWITT & LITTON
PER _____

FORM PTO-1595

RI

09-04-1998

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

8.27-98



100822286

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

NAVID MALIK
RUTH DUNCANAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: DENDRITECH, INC.

Internal Address:

8.27-98

AUG 31 1998

Street Address: 3110 SCHUETTE DRIVE

City: MIDLAND

State: MI

ZIP: 48642

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: AUGUST 21, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is AUGUST 21, 1998

A. Patent Application No.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GUNTHER J. EVANINA

Address: Price, Heneveld, Cooper,

DeWitt & Litton

Street Address: Post Office Box 2567

City: Grand Rapids State: MI ZIP: 49501

6. Total number of applications and patents involved:

1

7. Total fee (37 C.F.R. § 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account number:

16-2463

(Attach duplicate copy of this page if paying by deposit account)

09/03/1998 DNGUYEN 00000316 09111232

01 FC:581

40.00 OP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gunther J. Evanina

Name of Person Signing

Signature

August 27, 1998

Date

2

Total number of pages including cover sheet, attachments, and document:

This Notarial Certificate 553 is in the ENGLISH language and has the same meaning in other languages with the same 553 number.

• 553 •

This Notarial Certificate 553 is in the ENGLISH language and has the same meaning in other languages with the same 553 number.

(CORPORATE OFFICER)

NOTARIAL CERTIFICATE (CORPORATION)

United States of America)
State of MICHIGAN) SS
County of Midland)

On this 13th day of March 2000, personally appeared before me,

Robert M. Nowak, President and C.E.O., Dendritech, Inc.

known or identified to me to be the individual(s) described in and who executed the foregoing document

Transfer Assignmnet for and on behalf of Dendritech, Inc.

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation incorporated under the laws of the State of Michigan, United States of America.

Corporate
Signator's Name
and Title

Incorporation

Notary Signature

Print or Type Name
of Notary, Expiration
Date, if any, Title or
Jurisdiction Area

SEAL

Notary Public

CHERYL E. CORBETT
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES NOVEMBER 5, 2003

[illegible]

NOTARIAL CERTIFICATE (CORPORATION)

United States of America)
State of Michigan) SS
County of Midland)

On this 13 day of March 2000, personally appeared before me:

Graham E. Taylor, Assistant General Counsel, Intellectual Property,
The Dow Chemical Company,

known or identified to me to be the individual(s) described in and who executed the foregoing document

Transfer Assignment for and on behalf of The Dow Chemical Company,

and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said Corporation incorporated under the laws of the State of Delaware, United States of America,

I further certify that said authority, dated September 7, 1999, is based on a Resolution of the Board of Directors,

which I have seen, appointing the said individual(s) Attorney-in-Fact, with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

Ann Kithier

Notary Public
ANN HERITIER
NOTARY PUBLIC, BAY COUNTY, MICHIGAN
ACTING IN MIDLAND COUNTY, MICHIGAN
MY COMMISSION EXPIRES DECEMBER 3, 2002

SEAL

RECEIVED

SEP 29 2000

PATENT MAIL ROOM

SEPTEMBER 20, 2000

THE DOW CHEMICAL COMPANY
GRAHAM E. TAYLOR
INTELLECTUAL PROPERTY
P.O. BOX 1967
MIDLAND, MI 48641-1967



PTAS

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



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UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/03/2000

REEL/FRAME: C10961/0555
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DENDRITECH, INC.

DOC DATE: 03/13/2000

ASSIGNEE:
DOW CHEMICAL COMPANY, THE
2030 DOW CENTER
MIDLAND, MICHIGAN 48674

SERIAL NUMBER: 08924790
PATENT NUMBER:

FILING DATE: 09/05/1997
ISSUE DATE:

SERIAL NUMBER: 08408833
PATENT NUMBER: 5631329

FILING DATE: 03/21/1995
ISSUE DATE: 05/20/1997

SERIAL NUMBER: 08376100
PATENT NUMBER: 5773527

FILING DATE: 01/20/1995
ISSUE DATE: 06/30/1998

SERIAL NUMBER: 08941527
PATENT NUMBER: 6020457

FILING DATE: 09/30/1997
ISSUE DATE: 02/01/2000

08-04-2000



101422899

MRD 7/3/00

Assistant Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DENDRITECH, INC.
3110 Schuette Drive
Midland, Michigan 48642
UNITED STATES OF AMERICA

2. Name and address of receiving party(ies):

THE DOW CHEMICAL COMPANY
2030 Dow Center
Midland, Michigan 48674
UNITED STATES OF AMERICA

☐ Additional name(s) of conveying party(ies) is attached.☐ Additional name(s) & address(es) are attached.

3. Nature of conveyance:

☒ Assignment☐ Security Agreement☐ Other _____☐ Merger☐ Change of Name

Execution Date(s) March 13, 2000

4. Application Number(s) or Patent Number(s):

**** SEE ATTACHMENT ****

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Application Number(s) or Patent Number(s) are attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Graham E. Taylor
The Dow Chemical Company
Intellectual Property
P. O. Box 1967
Midland, Michigan 48641-1967
United States of America

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$440.00

☐ Enclosed☒ Please deduct the above fee from our Deposit Account8. **Deposit Account 04-1512.** If this estimate is incorrect, please debit or credit our account accordingly.

08/03/2000 DNGUYEN 00000213 041512 08924790

01 FC:581

440.00 CH

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: July 3, 2000

SEE ATTACHMENT

Heather Terry

Administrative Patent Assistant

(517) 636-0903

SERIAL NUMBER: 09000311
PATENT NUMBER: 6043336

FILING DATE: 01/26/1998
ISSUE DATE: 03/28/2000

SERIAL NUMBER: 09111232
PATENT NUMBER:

FILING DATE: 07/07/1998
ISSUE DATE:

SERIAL NUMBER: 06812479
PATENT NUMBER: 4871779

FILING DATE: 12/23/1985
ISSUE DATE: 10/03/1989

SERIAL NUMBER: 09219907
PATENT NUMBER:

FILING DATE: 12/23/1998
ISSUE DATE:

SERIAL NUMBER: 08694787
PATENT NUMBER: 5919442

FILING DATE: 08/09/1996
ISSUE DATE: 07/06/1999

SERIAL NUMBER: 06258158
PATENT NUMBER: 4435548

FILING DATE: 04/27/1981
ISSUE DATE: 03/06/1984

SERIAL NUMBER: 60120415
PATENT NUMBER:

FILING DATE: 02/16/1999
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Application No. **Filing Date** **Dow Docket No.**

08/924,790	September 5, 1997	60820 60802
08/408,833	March 21, 1995	60827
08/376,100	January 20, 1995	60827
08/941,527	September 30, 1997	60803
09/000,311	January 26, 1998	60807
09/111,232	July 7, 1998	60800
06/812,479	December 23, 1985	31590
09/219,907	December 23, 1998	60797
08/694,787	August 9, 1996	60821
06/258,158	April 27, 1981	29696
60/120,415	February 16, 1999	60805